

01-71-05

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 15436.177.1	
In Re Application Of: James Finn Aldridge				
Serial No. 10/802,434	Filing Date March 17, 2004	Examiner Unknown		Group Art Unit 1614
Title: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE WAVELENGTH DIVISION MULTIPLEXING NETWORKS				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(d))

Docket No.
15436.177.1

In Re Application: James Finn Aldridge

Serial No.
10/802,434Filing Date
March 17, 2004Examiner
UnknownGroup Art Unit
1614**DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE WAVELENGTH DIVISION
MULTIPLEXING NETWORKS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: January 19, 2005

R. BURNS ISRAELSEN

Reg. No, 42,685

Customer No. 022913

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): James Finn Aldridge

Docket No.

15436.177.1

Serial No.

10/802,434

Filing Date

March 17, 2004

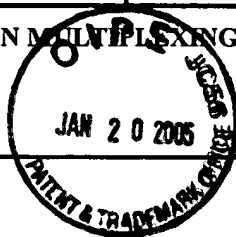
Examiner

Unknown

Group Art Unit

1614

Invention: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE WAVELENGTH DIVISION
MULTIPLEXING NETWORKS



I hereby certify that this IDS; PTO Form 1449; transmittal; two (2) copies of references; postcard

(Identify type of correspondence)

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20231-0001 on January 20, 2005

(Date)

Kristine Blust

(Typed or Printed Name of Person Mailing Correspondence)

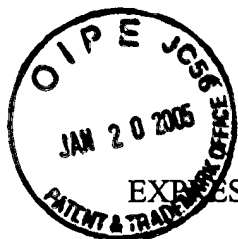
A handwritten signature in dark ink, appearing to read "Kristine Blust". It is written over a horizontal line.

(Signature of Person Mailing Correspondence)

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PATENT APPLICATION
Docket No: 15436.177.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
	James Finn Aldridge)	
Serial No.:	10/802,434)	Art Unit
Confirmation No.:	3518)	1614
Filed:	March 17, 2004)	
For:	DENSE WAVELENGTH DIVISION)	
	MULTIPLEXING ON COARSE WAVELENGTH)	
	DIVISION MULTIPLEXING NETWORKS)	
Examiner:	Unknown)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED January 19, 2005.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913
Telephone: (801) 533-9800

Applicant: James Finn Aldridge

Serial No.: 10/802,434

Att'y Docket No.: 15436.177.1

Filing Date: March 17, 2004

Group: 1614

For: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE
WAVELENGTH DIVISION MULTIPLEXING NETWORKSINFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
____ 1	None		

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
____ 2	None		

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

- ____ 3 Aldridge, J., The Best of Both Worlds, [online] September, 2002 [retrieved on 10/23/2002]. Retrieved from the Internet: URL: http://lwe.pennnet.com/Articles/Article_Display.cfm?Section=Articles&Subsection=Display&ARTICLE_ID=155526
- ____ 4 Bell, Robert, World Teleport Association, Intelligent Cities and Tech-Space: What Lies Ahead, [online] February, 2002 [retrieved on 11/07/2002]. Retrieved from the Internet: URL: http://www.facilitycity.com/busfac/bf_02_02_intel.asp

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: James Finn Aldridge
Serial No.: 10/802,434 Att'y Docket No.: 15436.177.1
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For: DENSE WAVELENGTH DIVISION MULTIPLEXING ON COARSE
WAVELENGTH DIVISION MULTIPLEXING NETWORKS

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KJB0000005063V001.doc

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
